

Proposed amendments to GCU Appendix 9

Amendment history

Amended by	Date	Paragraph	Amendment
Jean Marc Blondé	9/3/2018		Modified following TTI WG meeting of Jan 2018
TTI WG decision	21/3/2018		See minutes of TTI WG meeting of March 2018
WU SG decision	29/5/2018		See minutes of WU SG meeting of May 2018

Title	Change of defect category of code 6.7.4 Trestle adjustment device
Proposed amendment concerns RU/keeper/other:	SBB Cargo AG
Proposed amendment concerns:	<input checked="" type="checkbox"/> Appendix 9 <input type="checkbox"/> Appendix 11
Proposer:	Jean-Marc Blondé
Location, date:	Olten, 09/3/2018
Concise description:	Change of defect category 4 to defect category 5

1. Starting-point (current situation):

1.1. Introduction
Last year, the description of code 6.7.4 has been amended for different adjustment devices.
1.2. Mode of operation
-
1.3. Anomaly/description of problem
Due to a possible gauge commitment the defect category must be changed from 4 to 5.
1.4. Does this concern a recognised code of practice* (e.g. DIN, EN)?
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes (state which): <small>* "a written set of rules that, when correctly applied, can be used to control one or more specific hazards." (Source: Regulation (source: Regulation EC 352/2009, Article 3)</small> <small>"Technical provisions laid down in writing or conveyed verbally and pertaining to procedures, equipment and modes of operation which are generally agreed by the populations concerned (specialists, users, consumer and public authorities) to be suitable for achieving the objective prescribed by law, and which have either proven their worth in practice or, it is generally agreed, are likely to within a reasonable period of time". (Source: BMJ Handbuch der Rechtsförmlichkeit – guide published by German Ministry of Justice)</small>

2. Target situation

2.1. Elimination of anomaly/problem (goal)
Change of defect category of code 6.7.4 from 4 to 5

3. Additional text and/or modifications (relates to proposed amendments to GCU Appendix 9):

Color code for modifications:

Black: Text in force, for information, remains unchanged

Red: new Text

Blue: (if crossed out): text will be deleted

Appendix 9 – Annex 1 - Code 6.7.4

Component	Code no.	Irregularities/Criteria/Notes	Action to be taken	Category
Gear for securing load units (ILU) on container wagons	6.7			
	6.7.1	Trestle or spigot distorted or defective		
	6.7.1.1	- Trestle not in use	K	3
	6.7.1.2	- Trestle in use	Retreat +K, if not possible, detach wagon	5
	6.7.1.3	- Spigot not in use	K	3
	6.7.1.4	- Spigot not in use	Retreat +K, if not possible, detach wagon	5
	6.7.2	Coupling pin of trailer not locked into trestle	Lock, if not possible, detach wagon	5
	6.7.3	Trestle not in use and not locked	Place trestle in its end position and lock. If no possible, secure temporarily + K	3
6.7.4	Trestle adjustment device hand-wheel unlocked, no risk of fouling the gauge	Push in and secure trestle adjustment device. If not possible, detach wagon	4 5	

4. Reason:**5. Assess potential positive/negative impacts**

Assess the possible positive and negative impacts (operations, costs, administration, interoperability, safety, competitiveness, etc.), using a scale from 1 (very low) to 5 (very high):

Justify observations

Positive impacts:

Operations, interoperability, costs, management, competitiveness: Value 3

Safety: Value 3

6. Safety appraisal of proposed amendment

Description of actual/target system, and scope of change to be made (see points 1 and 2).

Performance of risk analysis is unnecessary where only recognised standards are implemented.

Risk analysis conducted by:

6.1 Does the change have impact on safety?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
Reason:	
6.2 Is the change significant?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
Reason: see template. Attach the "significant change" test template.	
6.3 Determining and classifying risk	<input checked="" type="checkbox"/> N/A
6.3.1 Effect of change in normal operation: 6.3.2 Effect of change in the event of disruption/deviation from normal operation: 6.3.3 Potential misuse of system: <input type="checkbox"/> No <input type="checkbox"/> Yes (describe possible misuse):	
6.4 Have safety measures been applied?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
<i>For each type of risk, one of the following risk acceptance criteria is to be selected:</i> <ul style="list-style-type: none"> • Code of practice • Use of reference system • Explicit risk assessment 	
6.5 Has a risk analysis been submitted to the assessment body?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
Assessment body: Attach the verdict reached by the assessment body:	[Appendix]